



# “A brief study of Unique factorization domain in Integral Domain”

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## How to Cite this Article:

Srivastav, A. K. (2026). “A brief study of Unique factorization domain in Integral Domain”. International Journal of Creative and Open Research in Engineering and Management, <i>02</i>(05).  
<https://doi.org/10.55041/ijcope.v2i5.561>

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<https://doi.org/10.55041/ijcope.v2i5.561>

## Abstract

Unique Factorization Domains (UFDs) are integral domains where every non zero non unit element can be factored into irreducible elements in a manner that is unique, considering order and units. This idea extends the Fundamental Theorem of Arithmetic from integers to more abstract algebraic structures. This paper offers a comprehensive examination of UFDs within integral domains, covering definitions, equivalent characterizations, significant theorems, examples, counter examples and their connections to principal ideal domains and Euclidean domains. Additionally, applications in algebraic number theory and polynomial theory are explored.

**Keywords:** Integral domains, Euclidean, ideal domains

## Introduction

In commutative algebra, factorization of elements into irreducible is a fundamental concept. While integers exhibit unique factorization into primes, this property does not always hold in general integral domains.

A Unique Factorization Domain (UFD) is an integral domain in which every nonzero nonunit element can be written uniquely (up to order and units) as a product of irreducible elements.

UFDs are especially important in: Algebraic number theory, where failure of unique factorization leads to the development of ideal theory. Polynomial theory, where polynomial rings over fields preserve unique factorization.

The study of factorization lies at the heart of number theory and algebra. In the integers, every number greater than 1 is in factors uniquely into primes. When extending arithmetic to general algebraic structures specifically integral domains this property may or may not persist.



An integral domain is a commutative ring with unity and no zero divisors. Within such domains, the question arises: When does unique factorization into irreducible hold? The answer leads to the notion of a Unique Factorization Domain (UFD), a fundamental structure in commutative algebra.

We know that every integer  $n > 1$  can be uniquely expressed as product of primes and in a principal ideal domain an element is prime if and only if it is irreducible. Thus, we can say that integer  $n > 1$ , can be uniquely expressed as product of irreducible. The next question that arises is whether every integral domain has this property or not? It can be under stand by steps given below.

Factorization theory is a central topic in commutative algebra and number theory. The classical Fundamental Theorem of Arithmetic states that every integer greater than 1 can be uniquely expressed as a product of primes. Unique Factorization Domains generalize this property from the integers to more general algebraic structures called integral domains.

An integral domain is a commutative ring with identity and no zero divisors. In such structures, divisibility can be studied similarly to integers, but uniqueness of factorization may fail in general.

**Definition:**

An integral domain  $R$  is said to be a unique factorization domain (U.F.D) if,

- 1- Every non-zero, non-unit element of  $R$  can be expressed as a product of irreducible elements in  $R$ .
- 2- The factorization into irreducible is unique up to associates and the order in which the factors appear.

In other words, if  $a = p_1^{n_1} p_2^{n_2} \dots p_r^{n_r} = q_1^{m_1} q_2^{m_2} \dots p_s^{m_s}$  be two factorizations of an element  $a \in R$  as a product of irreducible, where no two  $p_i$  's are associates and no two  $q_j$  's are associates, then  $r = s$  and each  $p_i$  is an associate of one and only one  $q_j$  .

**Example1:** Show that the ring  $\mathbb{Z}$  of integers is a unique factorization domain (U.F.D).

**Solution:** Note that the only units in  $\mathbb{Z}$  are  $\pm 1$

If  $n \in \mathbb{Z}$  is non-zero and non-unit, then either  $n > 0$  or  $n < 0$

If  $n > 0$ . Then by fundamental theorem of arithmetic, can be uniquely expressed as  $n = p_1^{k_1} p_2^{k_2} p_3^{k_3} \dots p_m^{k_m}$  where  $p_i$  's are prime.

$$\Rightarrow n = (p_1 p_1 \dots p_1) \dots (p_m p_m \dots p_m)$$

Since  $\mathbb{Z}$  is a P.I.D and we know that in a P.I.D, an element is prime iff it is irreducible.

Hence,  $n$  is uniquely expressed as product of irreducible elements.

If  $n < 0$ , then  $n = -m$ , for some  $m > 0$

As in case of  $n > 0$ ,  $m$  can be expressed uniquely as product of irreducible elements.

Let 
$$m = q_1 q_2 \dots q_k$$

Then, 
$$n = -m = -q_1 q_2 \dots q_k$$

As  $q_1 = (-1) (-q_1)$ , where  $-1$  is unit.

Therefore  $-q_1$  and  $q_1$  are associates and we know that associate of an irreducible is irreducible, so  $q_1$  is irreducible.

**Theorem 1:** Every principal ideal domain is a unique factorization domain.

**Proof:** Let  $R$  be a principal ideal domain and  $a_0 \in R$  be a non-zero, non-unit element of  $R$ .

1- We claim that  $a_0$  can be written as a product of irreducible elements in  $R$ .

If  $a_0$  is irreducible, then  $a_0 = a_0$  and we get the result.

Suppose that  $a_0$  is not irreducible.

Then as  $a_0$  is non-zero, non-unit element, therefore by Theorem if  $R$  be a principal ideal domain and  $a \in R$  is a non-zero, non-unit element then there exists an irreducible element  $p$  such that  $p \mid a$ ., there exists an irreducible element  $p_1$  such that  $p_1 \mid a_0$ .



$$\Rightarrow a_0 = p_1 a_1, \text{ for some } a_1 \in R.$$

$$\Rightarrow \langle a_0 \rangle \subseteq \langle a_1 \rangle$$

If  $a_1$  is irreducible, then we have expressed  $a_0$  as a product of irreducible elements in  $R$ .

If  $a_1$  is not irreducible, then  $a_1 \neq 0$

$$\text{Then } a_0 = p_1 \cdot a_1$$

$$= p_1 \cdot 0$$

$$= 0, \text{ which is not true.}$$

Also  $a_1$  is non-unit, because if  $a_1$  is unit then  $a_1$  and  $p_1$  are associates and as  $p_1$  is irreducible therefore  $a_1$  is irreducible which is also not true.

Hence  $a_1$  is a non-zero, non-unit element of  $R$  which is a principal ideal domain.

By theorem if  $R$  be a principal ideal domain and  $a \in R$  is a non-zero, non-unit element, then there exists an irreducible element  $p$  such that  $p \mid a$ . There exists an irreducible element  $p_2$  such that  $p_2 \mid a_1$ .

$$\Rightarrow a_1 = p_2 a_2, \text{ for some } a_2 \in R$$

$$\Rightarrow \langle a_1 \rangle \subseteq \langle a_2 \rangle$$

If  $a_2$  is irreducible, then  $a_0 = a_1 p_1$

$$= p_2 a_2 p_1$$

which is a finite product of irreducible elements and we are done.

If  $a_2$  is not irreducible, then proceeding as above, it can be seen that  $a_2$  is non-zero and non-unit in  $R$ .

Continuing like this we obtain an ascending chain of ideals

$$\langle a_0 \rangle \subseteq \langle a_1 \rangle \subseteq \langle a_2 \rangle \subseteq \dots$$

Then by theorem if  $R$  be a principal ideal domain and  $A_1 \subset A_2 \subset \dots$  be any strictly increasing chain of ideals in  $R$ . Then this chain of ideals must be of finite length, Therefore there exists an irreducible element  $a_n \in R$  such that

$$\langle a_0 \rangle \subseteq \langle a_1 \rangle \subseteq \langle a_2 \rangle \subseteq \dots \subseteq \langle a_n \rangle$$

and  $a_0 = p_1 p_2 \dots p_n a_n$  where  $p_1, p_2, \dots, p_n, a_n$  are irreducible elements in  $R$ .

Thus, Claim 1 holds.

**2-** Now we claim that the factorization is unique up to associated and the order in which they appear.

In order to prove this, we have to show that if  $a \in R$  is non-zero, non-unit element and  $a = p_1 p_2 \dots p_m = q_1 q_2 \dots q_n$  are two representations of  $a$  as product of irreducible then  $m = n$  and each  $p_i$  is an associate of some  $q_j$

We prove this by using induction on  $n$ .

$$\text{For } n = 1, a = p_1 p_2 \dots p_m = q_1 \dots (1)$$

As  $q_1$  is irreducible, some  $p_i$  must be unit. But each  $p_i$  being irreducible cannot be unit. Therefore, (1) holds only if  $m = 1$

$$\text{Thus, } a = p_1 = q_1 \text{ and } p_1 = 1 \cdot q_1$$

This implies that  $p_1$  and  $q_1$  are associates.

Hence the result holds for  $n = 1$

Let the result be true for  $n - 1$

$$\text{As } a = p_1 p_2 \dots p_m = q_1 q_2 \dots q_n$$

$$\Rightarrow p_1 p_2 \dots p_m = q_1 (q_2 \dots q_n).$$

$$\Rightarrow q_1 \mid p_1 p_2 \dots p_m \dots (2)$$

As  $q_1$  is irreducible in  $R$  which is a principal ideal domain and we know that in a principal ideal domain an element is prime if and only if it is irreducible.

Therefore  $q_1$  is prime element.

$$(2) \Rightarrow q_1 \mid p_i \text{ for some } i$$

Since  $R$  is a commutative ring, we can assume without loss of generality that  $i = 1$

$$\Rightarrow q_1 \mid p_1$$



$\Rightarrow p_1 = q_1 u_1$  for some  $u_1 \in R$

As  $p_1$  is irreducible, therefore either  $q_1$  is unit or  $u_1$  is unit.

But  $q_1$  being irreducible is non-unit therefore  $u_1$  must be unit.

$\Rightarrow p_1$  and  $q_1$  are associates.

As  $p_1 p_2 \dots p_m = q_1 (q_2 \dots q_n)$  and  $p_1 = q_1 u_1$

$\Rightarrow (q_1 u_1) p_2 \dots p_m = q_1 q_2 \dots q_n$

$\Rightarrow u_1 p_2 \dots p_m = q_2 q_3 \dots q_n$

(as  $q_1 \neq 0$  and cancellation law holds in an integral domain for non-zero elements).

$\Rightarrow p_2' p_3 \dots p_m = q_2 q_3 \dots$ , where  $p_2' = u_1 p_2$  is irreducible. .... (3)

Because  $p_2$  is irreducible,  $u_1$  is unit which implies that  $p_2'$  and  $p_2$  are associates and associate of an irreducible element is irreducible, therefore  $p_2'$  is irreducible.

In (3), we have two equal representations as a product of irreducible and one of the representation on R.H.S. contains  $(n - 1)$  elements.

Therefore, by induction hypothesis, on L.H.S. also we should have  $(m - 1)$  elements.

Therefore,  $n - 1 = m - 1 \Rightarrow m = n$

Also we have seen above that  $p_1$  and  $q_1$  are associates.

Similarly, we can show that  $p_2$  and  $q_2$  are associates by considering

$p_1 p_2 \dots p_m = q_2 (q_1 q_3 \dots q_n)$ , and proceeding as above.

In this manner, we can see that each  $p_i$  is an associate of some .

Therefore, by Principle of mathematical induction result holds for every  $n$ . Hence, Claim 2 holds.

Thus, from Claim 1 and 2, it follows that  $R$  is a unique factorization domain.

## Applications of Unique factorization domain

UFD properties are used in:

- Algebraic number theory
- Cryptography and Computation
- Polynomial factorization algorithms
- Computer algebra systems
- Error-correcting codes
- Algebraic Geometry - Coordinate rings of varieties often require factorization properties for structural analysis.

## Conclusion

Unique Factorization Domains (UFDs) offer a significant extension of the concept of integer factorization to the realm of abstract algebraic structures. Established within integral domains, UFDs guarantee that each element can be uniquely decomposed into irreducible factors, thereby maintaining a crucial arithmetic framework. Although numerous significant rings qualify as UFDs, notable exceptions expose more profound algebraic insights that inspire the development of ideal theory and contemporary commutative algebra.



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